

INVESTOR IN PEOPLE

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

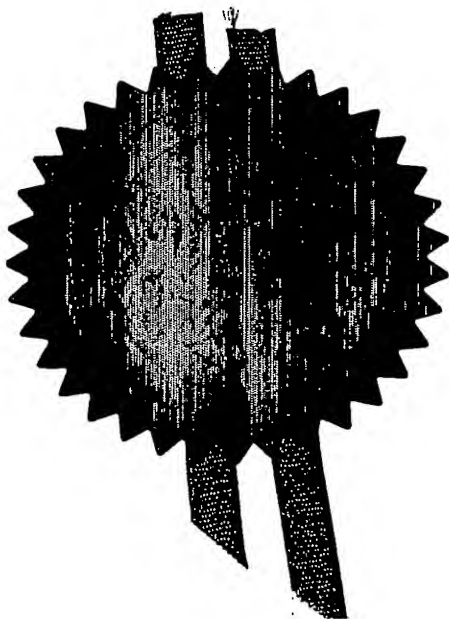
In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

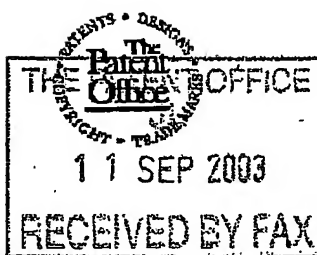
Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Best Available Copy

Signed *M. Jones*

Dated 20 October 2004



Patents Form 1/77Patents Act 1977
(Rule 18)11SEP03 E83065-1 D100022
P01/7700 0.00-0321286.7**Request for grant of a patent**

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form.)

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

sel.3060.uk.kv.d

2. Patent application number
(The Patent Office will fill in this part)

11 SEP 2003

0321286.7

3. Full name, address and postcode of the or of each applicant (underline all surnames)

08712010001

Patents ADP number (if you know it)

Safeglass (Europe) Limited
Whitworth Building
Scottish Enterprise Technology Park
East Kilbride
GLASGOW
G75 0QD

If the applicant is a corporate body, give the country/state of its incorporation

UK

4. Title of the invention

Improved container

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Kennedys Patent Agency Limited
Floor 5, Queens House
29 St Vincent Place
Glasgow
G4 2DT

Patents ADP number (if you know it)

0805 824 0002 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d))

Patents Form 1/77

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description

14

Claim(s)

Abstract

Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents

(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature
KENNEDYSDate
11 September 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

Karen Veitch

Tel: 0141 226 6826

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

1 Improved Container

2

3 The present invention relates to a container for
4 foodstuffs and beverages which has improved safety
5 characteristics when compared to conventional containers.

6

7 Traditionally, the containers in which alcoholic drinks,
8 carbonated soft drinks and oxygen sensitive juices are
9 sold are manufactured from glass. Glass bottles are well
10 received by consumers as they impart the impression of a
11 high quality product and have "chink factor".
12 Nevertheless, the use of bottles is inherently dangerous,
13 as glass is easily broken. It will be appreciated that
14 this is a particular problem in bars, pubs and
15 nightclubs, where accidental breakage of glass bottles,
16 is a potential health risk.

17

18 Glass bottles are also disliked as they can be used
19 deliberately, as weapons, to inflict damage on other
20 persons. In fact, safety regulators have actively
21 encouraged drinks manufacturers, as well as
22 establishments which serve drinks and alcohol, to use
23 bottles and glasses made from non-dangerous materials, in

1 order to reduce the number of serious injuries caused by
2 glass and bottle attacks.

3

4 In recent years there has been a move towards providing
5 bottles manufactured from materials which are not as
6 dangerous as glass. It is estimated that packaged beer
7 production world wide in 1996 was 106.6 billion litres,
8 requiring 186.2 billion bottles and 73.7 billion cans.
9 The beer bottle market was forecasted to grow at an
10 annual rate of three percent through 2001 to 216 billion
11 units. Most bottle production makes use of glass with
12 only 0.1 billion plastic bottles being utilised in 1996.
13 However due to the push towards increased safety it is
14 estimated that the demand for plastic bottles is forecast
15 to reach 2.5 billion by 2006.

16

17 The focus on safer bottles is particularly important with
18 respect to alcoholic drinks, which are consumed in bars
19 and nightclubs. PET, poly(ethylene terephthalate), a
20 plastic which can be readily manufactured into bottles,
21 and which does not break as readily as glass, has already
22 been used for this purpose.

23

24 However the use of PET poses its own problems to the
25 industry. PET is a relatively expensive material and not
26 cheap to process which makes it a less popular option for
27 drinks manufacturers. In addition, there is a general
28 consensus that plastic bottles are not as well received
29 by the public as they feel cheaper and do not have the
30 same high quality feel as glass.

31

1 It is an object of the present invention to overcome the
2 problems that are described above with reference to glass
3 and existing plastic bottles.

4
5 According to a first aspect of the present invention,
6 there is provided a container manufactured from a
7 material that shatters when broken into fragments which
8 do not cut, puncture or otherwise damage human skin or
9 tissue.

10

11 The container may be a bottle, glass, tumbler, or the
12 like.

13

14 Preferably the material is comprised of an amorphous
15 thermoplastic polymer and one or more low molecular
16 weight resins.

17

18 Preferably the amorphous thermoplastic polymer is chosen
19 from the group consisting of:

20

- 21 • polystyrene (PS)
- 22 • styrene-acrylonitrile co-polymer (SAN)
- 23 • linear polyesters and co-polyesters
- 24 • polycarbonate (PC)

25

26 Preferably the one or more low molecular weight resins
27 are hydrocarbon resins.

28

29 Preferably the one or more low molecular weight resins
30 are aromatic hydrocarbon resins

31

32 The one or more low molecular weight resins chosen will
33 be completely compatible with the chosen polymer. For

1 example, in the case of polystyrene, the low molecular
2 weight resin will typically be C9 aromatic hydrocarbon
3 resin.

4

5 Preferably the material has a tensile stress limit
6 between 11 and 60 Nmm⁻².

7

8 Preferably the one or more low molecular weight
9 hydrocarbon resins are selected from a group consisting
10 of:

11

12 • Norsolene™

13 • Krystalex™

14 • Plastolyn™

15 • Endex™

16 • Piccotex™

17 • Piccolastic™

18 • Sukorez™

19 • Arkon™

20

21 Most preferably the one or more low molecular weight
22 hydrocarbon resins are selected from a group consisting
23 of; Norsolene W90™, Norsolene W100™, Norsolene W110™,
24 Kristalex F85™, Kristalex F100™, Kristalex F115™,
25 Plastolyn 240™, Plastolyn 290™, Endex 155™,
26 Piccolastic D125™, Sukorez 100™, Sukorez 120™, Arkon
27 P100™, Arkon P125™, Arkon P140™, Piccotex 75™,
28 Piccotex 100™ or Piccotex 120™.

29

30 Preferably the low molecular weight resin will have a
31 \overline{M}_n (number average molecular weight) such that it has

1 less than 500 repeating units, and preferably less than
2 50 repeating units.

3
4 The container may be manufactured from the material using
5 injection blow moulding and/or injection stretch blow
6 moulding techniques.

7
8 Alternatively, the container may be manufactured from the
9 material using extrusion blow moulding.

10
11 Optionally the material of the container may also
12 comprise a oxygen barrier. The material of the container
13 may also comprise oxygen scavengers.

14
15 The barrier included in the material of the container may
16 be selected from the group consisting of: acrylonitrile-
17 methyl acrylate copolymer, ethylene vinyl alcohol (EVOH)
18 or nylon MXD6.

19
20 Preferably the barrier is Barex™. Most preferably the
21 barrier is Barex™ 210 or Barex™ 218.

22
23 In the embodiment where nylon MXD6 is used as a barrier,
24 the oxygen scavenger may be X-312. Amosorb 3000, or a
25 scavenger of MXD6 with metal catalysed oxygen reduction
26 chemistry may also be used.

27
28 The barrier may be overmoulded or sprayed onto the
29 container or alternatively may be included in the
30 material of the container, using co-injection techniques.

31
32 The container may also have an inorganic coating. This
33 may be a thin layer of amorphous carbon. The inorganic

1 coating may be applied to the inside surface of the
2 container. Typically the inorganic coating will be
3 applied in a layer of 100 to 200nm thickness. The layer
4 may be applied by spraying.

5

6 The container may also have an external organic coating.
7 The external organic coating may be PVDC or a two
8 component epoxyamine.

9

10 The container may be manufactured from multiple layers of
11 the material. Two or more layers of the container may be
12 combined to act as an improved oxygen barrier.

13

14 Optionally the material of the container may also include
15 UV inhibitors, antioxidants, flow modifiers, colour
16 pigments and brighteners as known in the art.

17

18 Preferably as the amorphous thermoplastic polymer is
19 mixed with the one or more low molecular weight
20 hydrocarbons, the glass transition temperature is
21 elevated. Preferably the material of the container has a
22 glass transition temperature of above 80°C.

23

24 Potential uses of the container are not limited. For
25 example, the container may be used for beer, carbonated
26 soft drinks, oxygen sensitive juices, beverages or milk

27

28 A container having improved safety characteristics is
29 manufactured from a material comprised of an amorphous
30 thermoplastic polymer and one or more resins. The resins
31 are aromatic hydrocarbon resins and are selected from a
32 group consisting of NorsoleneTM, KrystalexTM, PlastolynTM,
33 EndexTM, SokorezTM, ArkonTM, PiccolasticTM and

1 PiccotexTM, and in particular Norsolene W90TM, Norsolene
2 W100TM, Norsolene W110TM, Kristalex F85TM, Kristalex F100
3 TM, Kristalex F115TM, Plastolyn 240TM, Plastolyn 290TM,
4 Endex 155TM, Piccolastic D125TM, Sukorez 100TM, Sukorez
5 120TM, Arkon P100TM, Arkon P125TM, Arkon P140TM, Piccotex
6 75TM, Piccotex 100TM or Piccotex 120TM. In a particular
7 embodiment the one or more low molecular weight resins
8 are C9 hydrocarbon resins. The one or more low molecular
9 weight resins have an \overline{M}_n (number average molecular
10 weight) such that it has less than 500 repeating units.
11 In one particular envisaged embodiment the one or more
12 low molecular weight resins have less than 50 repeating
13 units. The resin or resins chosen will be selected
14 on compatibility with the chosen polymer.

15
16 Low molecular weight in resins is a function of the
17 length of the chains in the resin. In this case the
18 hydrocarbon resins have a very low molecular weight, too
19 low in fact for the resins to be of any use as a
20 structural plastics material on their own, and are
21 difficult to mould. By mixing low molecular weight
22 hydrocarbon resin with polystyrene, the stress limit of
23 the polystyrene is reduced giving the material the
24 characteristics described in the present Application.

25
26 The amorphous thermoplastic polymer is chosen from the
27 group consisting of polystyrene (PS, styrene-
28 acrylonitrile co-polymer (SAN), linear polyesters and co-
29 polyesters and polycarbonate (PC). These can be mixed,
30 blended or polymerised with the one or more low molecular
31 weight resins. UV inhibitors, dyes, antioxidants, flow
32 modifiers, colour pigments and brighteners can also be
33 added to change or adapt the appearance of the container.

1

2 The container herein described has many characteristics
3 similar to an ordinary glass bottle - i.e. clarity,
4 rigidity and brittleness. However when broken, the
5 bottle shatters into fragments which are harmless and
6 cannot be used to cut or pierce human skin.

7

8 The material used to manufacture the container is
9 fundamentally a blend of a rigid and normally brittle
10 amorphous thermoplastic with a glass transition
11 temperature T_g at least 50°C above ambient and one or
12 more compatible low molecular weight resins. A rigid and
13 normally brittle amorphous thermoplastic polymer is
14 blended with one or more low molecular weight resins
15 which have a \overline{M}_n (number average molecular weight) such
16 that the resin has less than 500 repeating units,
17 preferably less than 50 repeating units. The one or more
18 low molecular weight resins have a weight average
19 molecular weight of 6050 or below. The material is, by
20 design, manufactured to break between 11 and 60 Nmm^{-2} .

21

22 The material can be heated and made into the desired
23 shape of the container, i.e. a bottle, glass or tumbler,
24 by any suitable technique known to the art e.g. injection
25 moulding, extrusion blow moulding or pre-form injection
26 blow moulding techniques.

27

28 The container may be manufactured from one or more layers
29 of the material. More than one layer may be used to
30 provide improved oxygen barrier characteristics.
31 Alternatively the container may be coated with an oxygen
32 barrier. Conventional coating technologies can be broadly
33 divided into two categories. The first are those that

1 use vacuum or plasma routes to deposit very thin films of
2 materials, such as carbon or silica, onto the surface of
3 the article being coated. The second, rely on the
4 atomised spraying of liquid organic materials onto the
5 external surfaces of the bottle. Ideally all coating
6 materials must not interfere with the economics of
7 recycling, nor detract from the bottle's appearance, but
8 a significant further consideration with thin film
9 internal deposits is the need for the materials to be
10 approved for food contact.

11

12 As the container described herein is manufactured from
13 the material at lower processing materials than
14 conventional plastics, barriers which are not usually
15 suitable for this purpose can be used. For example the
16 container can be coated in Barex™ (acrylonitrile-methyl
17 acrylate copolymer), and in particular Barex™ 210 or
18 Barex™ 218, which has high oxygen barrier properties.
19 This can be achieved either by overmoulding, spraying or
20 co-injection techniques. The barrier could alternatively
21 be acrylonitrile-methyl acrylate copolymer, ethylene
22 vinyl alcohol (EVOH) or nylon MXD6. The barrier could be
23 provided on the inside or outside of the container.

24

25 Oxygen scavengers such as all polyester Amosorb 3000 or
26 X-312 scavenger may be used. These Oxygen scavenging
27 materials can be incorporated into the material of the
28 container to react with the gas before it reaches the
29 contents. Amosorb 3000 or X-312 scavenger have particular
30 application when the barrier selected is MXD6 nylon. With
31 these types of active oxygen scavenging packages, shelf
32 life performance is determined solely by the rate of
33 carbonation loss and CO₂ loss is reduced by the presence

1 of the MXD6 as a physical barrier. A scavenger of MXD6
2 with metal catalysed oxygen reduction chemistry may also
3 be used (Oxbar). This system reacts very quickly with
4 oxygen in the container and has a high oxygen capacity,
5 ensuring a long active life.

6
7 The container may also have an inorganic coating such as
8 amorphous carbon. This can be sprayed onto the surface
9 of the container being coated. The inorganic coating can
10 be applied either to the inside or outside of the bottle
11 after blowing. Plasma-applied coatings, using carbon or
12 silicon, which have recently been developed, may be used.
13 The Sidel Actis™ and Kirin DLC™ coating technologies can
14 be used produce a thin layer of amorphous carbon,
15 typically 100 to 200nm thick, on the inside surface of
16 the container. This is deposited from a high-energy
17 plasma of acetylene gas within a high vacuum environment.
18 The coating provides an excellent barrier to both O₂ and
19 CO₂, and, because it is on the inside of the container,
20 prevents the O₂ dissolved in the material of the container
21 from migrating into the contents of the container during
22 the first few weeks of storage.

23
24 Because the deposited layers are fundamentally brittle,
25 they have to be extremely thin in order not to flake off
26 under container stresses, caused by bottle expansion and
27 creep when the bottle is filled, and under pressure from
28 the contents. Other factors include damage and scuffing
29 due to bottle handling, but these clearly do not affect
30 the integrity of the coating if it is on the inside. The
31 barrier performance improvements of carbon coatings are
32 similar to those achieved by organic coatings, again

11

1 giving a longer potential retail shelf life of around
2 nine months.

3

4 Silica technologies such as Glaskin and BestPet can also
5 be used. These rely on the application of a SiO_x vacuum
6 plasma coating, to give a barrier layer between 40 and
7 60nm thick. While the Glaskin process applies the glass
8 clear coating to the inside of the bottle, the BestPet
9 technique applies it to the outside.

10

11 As an alternative an organic coating may be used.
12 External organic coatings have been known and used in the
13 industry since the early 1980s. In the mid 1990s, barrier
14 coating solutions based on two component epoxyamine
15 chemistry (Bairocade) were developed, first to lengthen
16 the shelf life of the smaller soft drink sizes in hotter
17 climates, and then for beer. These provide a
18 transparent, glossy, external spray coating which is an
19 excellent barrier to migration of CO_2 and O_2 , and is
20 unaffected by humidity. The low temperature thermoset
21 cure provides a tough film, robust to filling and
22 handling conditions.

23

24 Typically the coating will be applied to the container at
25 thicknesses between 6µm and 10µm, and allow the use of
26 standard resins and preforms with existing injection and
27 blow moulding equipment. The use of such coatings
28 provides a performance improvement which is around 19
29 times better than an uncoated container and translates
30 into a longer retail shelf life. The external organic
31 coating may be PVDC two component epoxyamine.

32

12

1 The alternative approach to improving the gas permeation
2 properties of the container material is to manufacture it
3 from multiple layers of the material. In other words,
4 two or more layers of the container may be combined to
5 act as an improved oxygen barrier. Final shape blowing
6 produces a bottle with up to seven different polymer
7 layers, which either act as a physical barrier to gas
8 permeation, or are chemically active in scavenging oxygen
9 from the material of the container and intercepting
10 oxygen diffusing in from outside.

11
12 The material herein described has an elevated glass
13 transition temperature, which is much higher than the
14 glass transition temperature of, for example, PET. PET
15 has a glass transition temperature that is lower than the
16 pasteurisation temperature used in the beer industry. As
17 a result when PET is used in the manufacture of bottles,
18 creep may occur during filling. In other words the
19 material expands, which causes deformity of the bottle.
20 This problem is eliminated using the material herein
21 described as the glass transition temperature is above
22 the pasteurisation temperature used during filling.

23
24 Furthermore, bottles made from PET are generally filled
25 using flash pasteurisation, as opposed to full
26 pasteurisation, which the industry prefers. Full
27 pasteurisation is generally more efficient which results
28 in a longer shelf life for the product. However full
29 pasteurisation is not generally used with PET materials.
30 A particular advantage of the material herein described
31 is that because it has an elevated glass transition
32 temperature, it can withstand full pasteurisation.

33

1 It has been discovered that using the above described
2 material a container such as a bottle, glass or tumbler
3 can be manufactured which does not cut, puncture or
4 otherwise damage human skin or tissue when broken. In
5 other words, the container will shatter into harmless
6 fragments, shards or pieces when broken.

7

8 A particular advantage of the container described herein,
9 lies in the fact that even though it does not shatter
10 into dangerous fragment when broken, it has a similar
11 quality feel as glass, and has improved aesthetic
12 qualities over existing plastics such as PET. The
13 material herein described for use in manufacturing a
14 container, is relatively light and glass-like to touch
15 and as it is a polymer is can be processed, for example
16 by including oxygen barriers during production.
17 Importantly, the material is thicker than an equivalent
18 PET bottle so has a more glass-like feel but can be
19 manufactured into containers without an increase in cost.

20

21 Example 1

22

23 An 85% mix of polystyrene polymer and 15% resin is used
24 to manufacture a bottle with improved safety
25 characteristics. The 15% resin maybe comprised of a
26 single resin selected from the group consisting of
27 Norsolene TM, Krystalex TM, Plastolyn TM, Endex TM, Sokorez
28 TM, Arkon TM, PiccolasticTM and PiccotexTM, or may be a
29 combination of two or more of the above. Plastolyn TM is
30 particularly suitable for this purpose. The resin or
31 resins are selected to achieve a desired molecular weight
32 range.

33

- 1 Further modifications and improvements may be added
- 2 without departing from the scope of the invention herein
- 3 intended.

4

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.